IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

oplicant(s): Schofield

Serial No.: 09/930095

Filed: 8/15/2001

Title: System, Device and Method for

Managing Connection Quality in an Optical Communication System

Attorney Docket No.: 13072BAUS07U 120-177

Group Art Unit:

2633

Examiner:

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APR 1 6 2003

Technology Center 2600

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

X under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with Xcopies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C.

Date of Deposit:

Typed Name: Carol Ann Mahone

Respectfully submitted,

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Telephone No.: 978-264-6664



Substitute for form 1449A/PTO

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

ATEMENT BY APPLICANT use as many sheets as necessary)			First Named Inventor	Monga	
			Art Unit	2633	
			Examiner Name		
1	of	<u>_</u>	Attorney Docket Number	13072BAUS07U 120-177	

	U.S. PATENT DOCUMENTS						
Examiner Cite No.1	T	Document Number	Issue Date MM-	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where		
		Number - Kind Code ² (if known)	DD-YYYY		Relevant Passages or Relevant Figures Appear		
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵	
		Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>) IPER for PCT/US01/25520		Nortel Networks Ltd.			

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PTO/SB/08A (10-01)

Complet if Known

Applicati n Number

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09/930095

8/15/2001

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